

# EM waves revisited

Review of EM wave equation and plane waves

Energy and intensity in EM waves

# Maxwell's Equations to wave eqn

- The induced polarization,  $\mathbf{P}$ , contains the effect of the medium:

$$\begin{aligned}\vec{\nabla} \cdot \mathbf{E} &= 0 & \vec{\nabla} \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t} \\ \vec{\nabla} \cdot \mathbf{B} &= 0 & \vec{\nabla} \times \mathbf{B} &= \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t} + \mu_0 \frac{\partial \mathbf{P}}{\partial t}\end{aligned}$$

Take the curl:

$$\vec{\nabla} \times (\vec{\nabla} \times \mathbf{E}) = -\frac{\partial}{\partial t} \vec{\nabla} \times \mathbf{B} = -\frac{\partial}{\partial t} \left( \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t} + \mu_0 \frac{\partial \mathbf{P}}{\partial t} \right)$$

Use the vector ID:

$$\mathbf{A} \times (\mathbf{B} \times \mathbf{C}) = \mathbf{B}(\mathbf{A} \cdot \mathbf{C}) - \mathbf{C}(\mathbf{A} \cdot \mathbf{B})$$

$$\vec{\nabla} \times (\vec{\nabla} \times \mathbf{E}) = \vec{\nabla}(\vec{\nabla} \cdot \mathbf{E}) - (\vec{\nabla} \cdot \vec{\nabla})\mathbf{E} = -\vec{\nabla}^2 \mathbf{E}$$

$$\vec{\nabla}^2 \mathbf{E} - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = \mu_0 \frac{\partial^2 \mathbf{P}}{\partial t^2}$$

“Inhomogeneous Wave Equation”

# Wave equation in a medium

- The induced polarization,  $\mathbf{P}$ , contains the effect of the medium:

$$\vec{\nabla}^2 \mathbf{E} - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = \mu_0 \frac{\partial^2 \mathbf{P}}{\partial t^2}$$

- Sinusoidal waves of all frequencies are solutions to the wave equation
- The polarization ( $\mathbf{P}$ ) is sometimes a driving term for the waves (esp in nonlinear optics). In this case the polarization determines which frequencies will occur.
- For linear response,  $\mathbf{P}$  will oscillate at the same frequency as the input.

$$\mathbf{P}(\mathbf{E}) = \varepsilon_0 \chi \mathbf{E}$$

- In nonlinear optics, the induced polarization is more complicated:

$$\mathbf{P}(\mathbf{E}) = \varepsilon_0 \left( \chi^{(1)} \mathbf{E} + \chi^{(2)} \mathbf{E}^2 + \chi^{(3)} \mathbf{E}^3 + \dots \right)$$

- The extra nonlinear terms can lead to new frequencies.

# Solving the wave equation: linear induced polarization

For low irradiances, the polarization is proportional to the incident field:

$$\mathbf{P}(\mathbf{E}) = \varepsilon_0 \chi \mathbf{E}$$

The displacement vector combines the effect of  $\mathbf{E}$  and  $\mathbf{P}$

$$\mathbf{D} = \varepsilon_0 \mathbf{E} + \mathbf{P} = \varepsilon_0 (1 + \chi) \mathbf{E} = \varepsilon \mathbf{E} = n^2 \mathbf{E}$$

In this simple (and most common) case, the wave equation becomes:

$$\vec{\nabla}^2 \mathbf{E} - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = \frac{1}{c^2} \chi \frac{\partial^2 \mathbf{E}}{\partial t^2} \quad \rightarrow \quad \vec{\nabla}^2 \mathbf{E} - \frac{n^2}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

Using:  $\varepsilon_0 \mu_0 = 1 / c^2$   $\varepsilon_0 (1 + \chi) = \varepsilon = n^2$

$\mathbf{D}$  does not always point in the same direction as  $\mathbf{E}$ :

- Birefringence:  $n$  is a function of linear polarization direction
- Optically activity:  $n$  is a function of circular polarization state
- In this case,  $\chi$  and  $\varepsilon$  are tensors operating on  $\mathbf{E}$  to change its direction

# Vector wave equation

- The EM wave equation in vector form

$$\vec{\nabla}^2 \mathbf{E} - \frac{n^2}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

- $\mathbf{E}$  is a vector that depends on  $\mathbf{r}$  and  $t$
- Wave equation is actually a set of 3 equations:

$$\vec{\nabla}^2 E_x(\mathbf{r}, t) - \frac{n^2}{c^2} \frac{\partial^2 E_x(\mathbf{r}, t)}{\partial t^2} = 0$$

$$\vec{\nabla}^2 E_y(\mathbf{r}, t) - \frac{n^2}{c^2} \frac{\partial^2 E_y(\mathbf{r}, t)}{\partial t^2} = 0$$

$$\vec{\nabla}^2 E_z(\mathbf{r}, t) - \frac{n^2}{c^2} \frac{\partial^2 E_z(\mathbf{r}, t)}{\partial t^2} = 0$$

# Plane wave solutions for the wave equation

If we assume the solution has no dependence on x or y:

$$\vec{\nabla}^2 \mathbf{E}(z, t) = \frac{\partial^2}{\partial x^2} \mathbf{E}(z, t) + \frac{\partial^2}{\partial y^2} \mathbf{E}(z, t) + \frac{\partial^2}{\partial z^2} \mathbf{E}(z, t) = \frac{\partial^2}{\partial z^2} \mathbf{E}(z, t)$$

$$\rightarrow \frac{\partial^2 \mathbf{E}}{\partial z^2} - \frac{n^2}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

The solutions are oscillating functions, for example

$$\mathbf{E}(z, t) = \hat{\mathbf{x}} E_x \cos(k_z z - \omega t)$$

Where  $\omega = k c$ ,  $k = 2\pi n / \lambda$ ,  $v_{ph} = c / n$

This is a linearly polarized wave.

# Complex notation for waves

- Write cosine in terms of exponential

$$\mathbf{E}(z, t) = \hat{\mathbf{x}} E_x \cos(kz - \omega t + \phi) = \hat{\mathbf{x}} E_x \frac{1}{2} \left( e^{i(kz - \omega t + \phi)} + e^{-i(kz - \omega t + \phi)} \right)$$

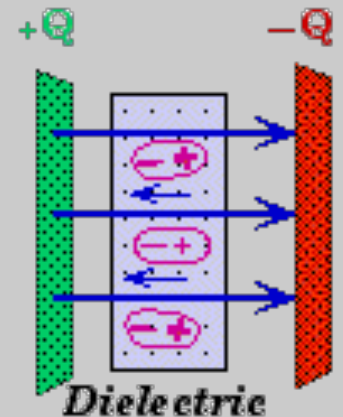
- Note E-field is a *real* quantity.
- It is convenient to work with just one part
  - We will use  $E_0 e^{+i(kz - \omega t)}$
  - Svelto:  $E_0 e^{-i(kz - \omega t)}$
- Then take the real part.
  - No factor of 2
  - In *nonlinear* optics, we have to explicitly include conjugate term

# Wave energy and intensity

- Both E and H fields have a corresponding energy density ( $\text{J/m}^3$ )
  - For static fields (e.g. in [capacitors](#)) the energy density can be calculated through the work done to set up the field

$$\rho = \frac{1}{2} \epsilon E^2 + \frac{1}{2} \mu H^2$$

- Some work is required to polarize the medium
- Energy is contained in both fields, but H field can be calculated from E field





# Calculating H from E in a plane wave

- Assume a non-magnetic medium

$$\mathbf{E}(z,t) = \hat{\mathbf{x}} E_x \cos(kz - \omega t)$$

$$\vec{\nabla} \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} = -\mu_0 \frac{\partial \mathbf{H}}{\partial t}$$

- Can see  $\mathbf{H}$  is perpendicular to  $\mathbf{E}$

$$-\mu_0 \frac{\partial \mathbf{H}}{\partial t} = \vec{\nabla} \times \mathbf{E} = \begin{vmatrix} \hat{\mathbf{x}} & \hat{\mathbf{y}} & \hat{\mathbf{z}} \\ \partial_x & \partial_y & \partial_z \\ E_x & 0 & 0 \end{vmatrix} = \hat{\mathbf{y}} \partial_z E_x = -\hat{\mathbf{y}} k E_x \sin(kz - \omega t)$$

- Integrate on time to get  $\mathbf{H}$ -field:

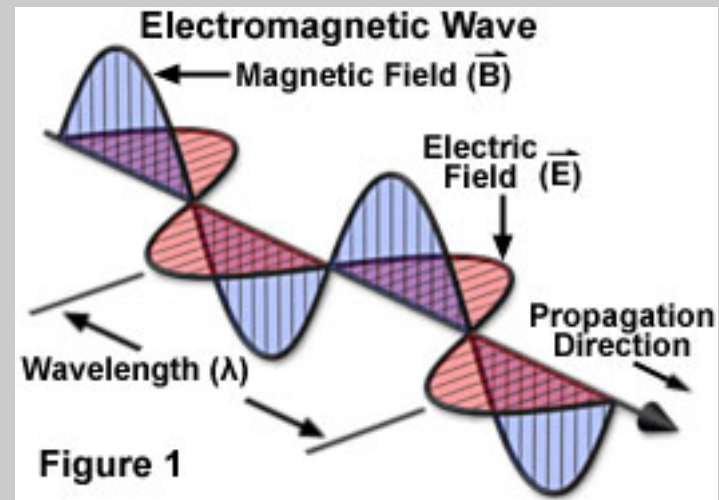
$$\mathbf{H} = \hat{\mathbf{y}} \int \frac{k E_x}{\mu_0} \sin(kz - \omega t) dt = \hat{\mathbf{y}} \frac{k E_x}{\mu_0} \left( \frac{-\cos(kz - \omega t)}{-\omega} \right) = \hat{\mathbf{y}} \frac{k E_x}{\omega \mu_0} \cos(kz - \omega t)$$

## H field from E field

- H field for a propagating wave is *in phase* with E-field

$$\mathbf{E}(z,t) = \hat{\mathbf{x}} E_x \cos(kz - \omega t)$$

$$\mathbf{H}(z,t) = \hat{\mathbf{y}} \frac{k E_x}{\omega \mu_0} \cos(kz - \omega t)$$



- Amplitudes are not independent

$$H_y = \frac{k}{\omega \mu_0} E_x \quad k = n \frac{\omega}{c} \quad c^2 = \frac{1}{\mu_0 \epsilon_0} \rightarrow \frac{1}{\mu_0 c} = \epsilon_0 c$$

$$H_y = \frac{n}{c \mu_0} E_x = n \epsilon_0 c E_x$$

# Energy density in a traveling EM wave

- Back to energy density, non-magnetic

$$\rho = \frac{1}{2} \epsilon E^2 + \frac{1}{2} \mu_0 H^2 \qquad H = n \epsilon_0 c E$$

$$\epsilon = \epsilon_0 n^2$$

$$\rho = \frac{1}{2} \epsilon_0 n^2 E^2 + \frac{1}{2} \mu_0 n^2 \epsilon_0^2 c^2 E^2$$

$$\mu_0 \epsilon_0 c^2 = 1$$

$$\rho = \frac{1}{2} \epsilon_0 n^2 E^2 + \frac{1}{2} \epsilon_0 n^2 E^2 = \epsilon_0 n^2 E_0^2 \cos^2(kz - \omega t)$$

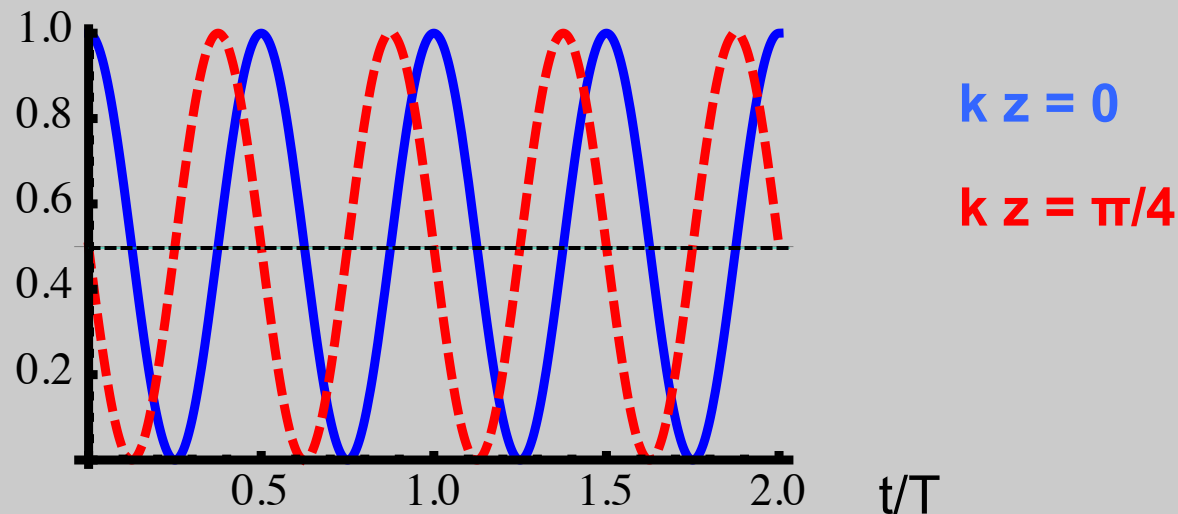
**Equal energy** in both components of wave

# Cycle-averaged energy density

- Optical oscillations are faster than detectors
- Average over one cycle:

$$\langle \rho \rangle = \varepsilon_0 n^2 E_0^2 \frac{1}{T} \int_0^T \cos^2(k_z z - \omega t) dt$$

– Graphically, we can see this should =  $\frac{1}{2}$



– Regardless of position  $z$

$$\langle \rho \rangle = \frac{1}{2} \varepsilon_0 n^2 E_0^2$$

# Intensity and the Poynting vector

- Intensity is an energy flux (J/s/cm<sup>2</sup>)
- In EM the Poynting vector give energy flux

$$\mathbf{S} = \mathbf{E} \times \mathbf{H}$$

– For our plane wave,

$$\mathbf{S} = \mathbf{E} \times \mathbf{H} = E_0 \cos(k_z z - \omega t) n \epsilon_0 c E_0 \cos(k_z z - \omega t) \hat{\mathbf{x}} \times \hat{\mathbf{y}}$$

$$\mathbf{S} = n \epsilon_0 c E_0^2 \cos^2(k_z z - \omega t) \hat{\mathbf{z}}$$

–  $\mathbf{S}$  is along  $\mathbf{k}$

- Time average:  $\mathbf{S} = \frac{1}{2} n \epsilon_0 c E_0^2 \hat{\mathbf{z}}$
- *Intensity* is the magnitude of  $\mathbf{S}$

$$I = \frac{1}{2} n \epsilon_0 c E_0^2 = \frac{c}{n} \rho = V_{phase} \cdot \rho$$

Photon flux:  $F = \frac{I}{h\nu}$

# General 3D plane wave solution

- Assume separable function

$$\mathbf{E}(x, y, z, t) \sim f_1(x) f_2(y) f_3(z) g(t)$$

$$\vec{\nabla}^2 \mathbf{E}(z, t) = \frac{\partial^2}{\partial x^2} \mathbf{E}(z, t) + \frac{\partial^2}{\partial y^2} \mathbf{E}(z, t) + \frac{\partial^2}{\partial z^2} \mathbf{E}(z, t) = \frac{n^2}{c^2} \frac{\partial^2}{\partial t^2} \mathbf{E}(z, t)$$

- Solution takes the form:

$$\mathbf{E}(x, y, z, t) = \mathbf{E}_0 e^{ik_x x} e^{ik_y y} e^{ik_z z} e^{-i\omega t} = \mathbf{E}_0 e^{i(k_x x + k_y y + k_z z)} e^{-i\omega t}$$

$$\mathbf{E}(x, y, z, t) = \mathbf{E}_0 e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$$

– Now k-vector can point in arbitrary direction

- With this solution in W.E.:

$$\boxed{n^2 \frac{\omega^2}{c^2} = k_x^2 + k_y^2 + k_z^2 = \mathbf{k} \cdot \mathbf{k}}$$

Valid even in waveguides  
and resonators

# Grad and curl of 3D plane waves

- Simple trick:

$$\nabla \cdot \mathbf{E} = \partial_x E_x + \partial_y E_y + \partial_z E_z$$

- For a plane wave,

$$\nabla \cdot \mathbf{E} = i(k_x E_x + k_y E_y + k_z E_z) = i(\mathbf{k} \cdot \mathbf{E})$$

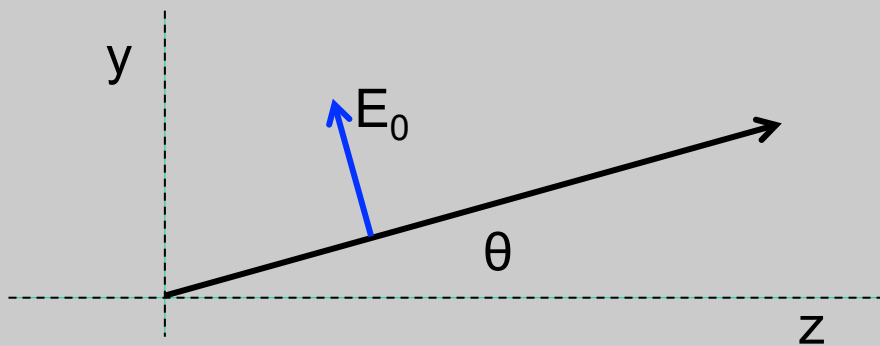
- Similarly,

$$\nabla \times \mathbf{E} = i(\mathbf{k} \times \mathbf{E})$$

- Consequence: since  $\nabla \cdot \mathbf{E} = 0$ ,  $\mathbf{k} \perp \mathbf{E}$ 
  - For a given  $\mathbf{k}$  direction,  $\mathbf{E}$  lies in a plane
  - E.g.  $\mathbf{x}$  and  $\mathbf{y}$  linear polarization for a wave propagating in  $\mathbf{z}$  direction

# Writing electric field expressions

- Write an expression for a complex E-field as shown:



$$\mathbf{E}(y,z,t) = E_0 [\hat{\mathbf{y}} \cos \theta - \hat{\mathbf{z}} \sin \theta] e^{i(ky \sin \theta + kz \cos \theta - \omega t)}$$