## Polarization in EM

Plane wave state, arbitrary direction: $\quad \mathbf{E}(r, t)=\mathbf{E}_{0} e^{i(k r-\omega t)}$
In vacuum, $\mathbf{D}=\varepsilon_{0} \mathbf{E}$

$$
\begin{aligned}
& \vec{\nabla} \cdot \mathbf{E}=0 \rightarrow\left(\begin{array}{lll}
\partial_{x} & \partial_{y} & \partial_{z}
\end{array}\right) \cdot \mathbf{E}_{0} e^{i(\mathrm{kr}-\mu x)} \\
& \vec{\nabla} \cdot \mathbf{E}=0 \rightarrow\left(\begin{array}{lll}
\partial_{x} & \partial_{y} & \partial_{z}
\end{array}\right) \cdot\left(\begin{array}{lll}
E_{x 0} e^{i(k r-\omega t)} & E_{y 0} e^{i(k r-\omega t)} & E_{z 0^{\prime}} e^{i(k r-\omega t)}
\end{array}\right) \\
& \vec{\nabla} \cdot \mathbf{E}=\partial_{x} E_{x 0} e^{i(k r-\omega t)}+\partial_{y} E_{y 0} e^{i(k r-c u t)}+\partial_{z} E_{z 0} e^{i(k r-\omega t)} \\
& \partial_{x} E_{x 0} e^{i(k r-\omega t)}=E_{x 0} \partial_{x} e^{i\left(k_{x}+k+y+k_{x} z-c t\right)}=i k_{x} E_{x 0} e^{i(k r-o x)} \\
& \vec{\nabla} \cdot \mathbf{E}=i\left(k_{x} E_{x 0}+k_{y} E_{y 0}+k_{z} E_{z 0}\right) e^{(k r-\omega t)}=i \mathbf{k} \cdot \mathbf{E}_{0} e^{(\mathbf{k} r-\mu t)}=0
\end{aligned}
$$

From this we can say that $\mathbf{k} \cdot \mathbf{E}_{0}=0$ and $\mathbf{k} \perp \mathbf{E}$
Therefore, the electric field lies in a plane perpendicular to $k$ The polarization direction can take on any linear combination of horizontal and vertical states (this includes circular polarization).

## Other vector relations

Similarly,

$$
\vec{\nabla} \times \mathbf{E}=-\frac{\partial \mathbf{B}}{\partial t} \rightarrow i \mathbf{k} \times \mathbf{E}=+i \omega \mathbf{B} \quad \text { so } \quad \mathbf{B} \perp \mathbf{k}, \mathbf{E}
$$

Energy flow is given by the Poynting vector:

$$
\mathbf{S}=\mathbf{E} \times \mathbf{H}=\frac{1}{\mu_{0}} \mathbf{E} \times \mathbf{B} \quad \text { so } \mathbf{S} \perp \mathbf{E}, \mathbf{B}
$$

These relations hold in any isotropic medium. But if the medium is anisotropic, the vector relations must be modified.

$$
\begin{array}{ll}
\vec{\nabla} \cdot \mathbf{E}=0 & \vec{\nabla} \times \mathbf{E}=-\frac{\partial \mathbf{B}}{\partial t} \\
\vec{\nabla} \cdot \mathbf{B}=0 & \vec{\nabla} \times \mathbf{B}=\mu_{0} \frac{\partial \mathbf{D}}{\partial t}
\end{array}
$$

## Maxwell's Equations: <br> linear anisotropic medium

- The induced polarization, $\mathbf{P}$, contains the effect of the medium:

$$
\begin{array}{ll}
\vec{\nabla} \cdot \mathbf{D}=0 & \vec{\nabla} \times \mathbf{E}=-\frac{\partial \mathbf{B}}{\partial t} \\
\vec{\nabla} \cdot \mathbf{B}=0 & \vec{\nabla} \times \mathbf{B}=\mu_{0} \frac{\partial \mathbf{D}}{\partial t}
\end{array}
$$

Define the displacement vector

- In an anisotropic medium:

$$
\mathbf{P}(\mathbf{E})=\varepsilon_{0} \ddot{\chi} \cdot \mathbf{E}, \quad \mathbf{D}=\varepsilon_{0} \mathbf{E}+\mathbf{P}=\varepsilon_{0}(1+\ddot{\chi}) \cdot \mathbf{E}=\varepsilon_{0} \ddot{\varepsilon} \cdot \mathbf{E}
$$

So now $\mathbf{D}$ and $\mathbf{E}$ are not necessarily parallel.
$\mathbf{D}=\varepsilon_{0} \ddot{\varepsilon} \cdot \mathbf{E} \rightarrow\left(\begin{array}{c}D_{x} \\ D_{y} \\ D_{z}\end{array}\right)=\varepsilon_{0}\left(\begin{array}{lll}\varepsilon_{x x} & \varepsilon_{x y} & \varepsilon_{x z} \\ \varepsilon_{y x} & \varepsilon_{y y} & \varepsilon_{y z} \\ \varepsilon_{z x} & \varepsilon_{z y} & \varepsilon_{z z}\end{array}\right)\left(\begin{array}{c}E_{x} \\ E_{y} \\ E_{z}\end{array}\right)$

## Linear anisotropic response

For anisotropic linear response: $D_{i}=\varepsilon_{0} \sum_{j} \varepsilon_{i j} E_{j}=\varepsilon_{0} \varepsilon_{i j} E_{i}$
In a basis aligned with the crystal axes:
Contracted notation
Repeated indices are summed

$$
\begin{aligned}
{\left[\begin{array}{c}
D_{X} \\
D_{Y} \\
D_{Z}
\end{array}\right]=\left[\begin{array}{ccc}
\varepsilon_{X X} & 0 & 0 \\
0 & \varepsilon_{Y Y} & 0 \\
0 & 0 & \varepsilon_{Z Z}
\end{array}\right]\left[\begin{array}{c}
E_{X} \\
E_{Y} \\
E_{Z}
\end{array}\right]=} & {\left[\begin{array}{ccc}
\varepsilon_{o} & 0 & 0 \\
0 & \varepsilon_{o} & 0 \\
0 & 0 & \varepsilon_{e}
\end{array}\right]\left[\begin{array}{c}
E_{X} \\
E_{Y} \\
E_{Z}
\end{array}\right] } \\
& \text { Uniaxial case: } \begin{array}{l}
\mathrm{o}=\text { "ordinary" } \\
\text { Even here, } \mathrm{D} \text { is not necessarily parallel to } \mathrm{E}
\end{array} \quad \mathrm{e}=\text { "extraordinary" }
\end{aligned}
$$ $\left[\begin{array}{c}D_{X} \\ D_{Y} \\ D_{Z}\end{array}\right]=\left[\begin{array}{ccc}\varepsilon_{o} & 0 & 0 \\ 0 & \varepsilon_{o} & 0 \\ 0 & 0 & \varepsilon_{e}\end{array}\right]\left[\begin{array}{c}0 \\ E_{Y} \\ E_{Z}\end{array}\right]=\left[\begin{array}{c}0 \\ \varepsilon_{o} E_{Y} \\ \varepsilon_{e} E_{Z}\end{array}\right]$

## Linear tensor $\chi^{(1)}$

Table 1.5.1 Form of the linear susceptibility tensor $\chi^{()}$as determined by the symmetry properties of the optical medium, for each of the seven crystal classes and for isotropic materials. Each nonvanishing element is denoted by its cartesian indices

| Triclinic | $\left[\begin{array}{ccc}x x & x y & x z \\ y x & y y & y z \\ z x & z y & z z\end{array}\right]$ |
| :--- | :--- |
| Monoclinic | $\left[\begin{array}{ccc}x x & 0 & x z \\ 0 & y y & 0 \\ z x & 0 & z z\end{array}\right]$ |
| Orthorhombre <br> Tetragonal <br> Trigonal <br> Hexagonal | $\left[\begin{array}{ccc}x x & 0 & 0 \\ 0 & y y & 0 \\ 0 & 0 & z z\end{array}\right]$ |
| Cubic <br> Isolrupic | $\left[\begin{array}{ccc}x x & 0 & 0 \\ 0 & x x & 0 \\ 0 & 0 & z z\end{array}\right]$ |

biaxial
uniaxial
isotropic

The dielectric tensor $\varepsilon_{i j}$ is symmetric in a nonabsorbing medium.
$\frac{\partial U}{\partial t}=-\nabla \cdot \mathbf{S} \quad$ Continuity equation:
Rate of change of energy density $=-$ div of power flow
$\nabla \cdot \mathbf{S}=\nabla \cdot(\mathbf{E} \times \mathbf{H})=\mathbf{H} \cdot(\nabla \times \mathbf{E})-\mathbf{E} \cdot(\nabla \times \mathbf{H})$
$\nabla \times \mathbf{E}=-\frac{\partial \mathbf{B}}{\partial t}, \nabla \times \mathbf{H}=\frac{\partial \mathbf{D}}{\partial t}$
$\Rightarrow-\nabla \cdot \mathbf{S}=\mathbf{E} \cdot \dot{\mathbf{D}}+\mathbf{H} \cdot \dot{\mathbf{B}}=\dot{U}_{E}+\dot{U}_{H} \Rightarrow \dot{U}_{E}=\mathbf{E} \cdot \dot{\mathbf{D}}=E_{i} \varepsilon_{i j} \dot{E}_{j}$
In the last step we're identifying the E and M components of the energy density. But we also know:
$U_{E}=\frac{1}{2} \mathbf{E} \cdot \mathbf{D} \Rightarrow \dot{U}_{E}=\frac{1}{2} \varepsilon_{i j}\left(\dot{E}_{i} E_{j}+E_{i} \dot{E}_{j}\right)=\frac{1}{2}\left(\varepsilon_{j i}+\varepsilon_{i j}\right) E_{i} \dot{E}_{j}$
Therefore, the dielectric tensor is symmetric $\varepsilon_{j i}=\varepsilon_{i j}$

This is an example of an intrinsic symmetry. It comes from the nature of the thermodynamic requirement that $U_{E}$ is a state function of $\mathbf{E}$, which takes all $E_{i}$ as independent variables. This does not require the symmetry of the crystal, or the linearity of the response.

## The index ellipsoid

Energy density inside the medium:

$$
U_{E}=\frac{1}{2} \mathbf{D} \cdot \mathbf{E}=\frac{1}{2} \varepsilon_{0} \sum_{i j} \varepsilon_{i j} E_{i} E_{j}
$$ see Davis 18.3 for derivation

The index ellipsoid is a surface of constant energy density (in the crystal basis):

$$
U_{E}=\frac{1}{2 \varepsilon_{0}}\left(\frac{D_{X}^{2}}{\varepsilon_{X X}}+\frac{D_{Y}^{2}}{\varepsilon_{Y Y}}+\frac{D_{Z}^{2}}{\varepsilon_{Z Z}}\right) \rightarrow \frac{1}{2 \varepsilon_{0} U}\left(\frac{D_{X}^{2}}{\varepsilon_{X X}}+\frac{D_{Y}^{2}}{\varepsilon_{Y Y}}+\frac{D_{Z}^{2}}{\varepsilon_{Z Z}}\right)=1
$$

Write this with new variables to make the ellipse equation more clear:

$$
X=\left(\frac{1}{2 \varepsilon_{0} U_{E}}\right)^{1 / 2} D_{X} \quad \text { etc. } \quad 1=\frac{X^{2}}{\varepsilon_{X X}}+\frac{Y^{2}}{\varepsilon_{Y Y}}+\frac{Z^{2}}{\varepsilon_{Z Z}}=\frac{X^{2}}{n_{o}^{2}}+\frac{Y^{2}}{n_{o}^{2}}+\frac{Z^{2}}{n_{e}^{2}}
$$

In an arbitrary basis, the ellipse equation looks like:

$$
\left(\frac{1}{n^{2}}\right)_{1} x^{2}+\left(\frac{1}{n^{2}}\right)_{2} y^{2}+\left(\frac{1}{n^{2}}\right)_{3} z^{2}+2\left(\frac{1}{n^{2}}\right)_{4} y z+2\left(\frac{1}{n^{2}}\right)_{5} x z+2\left(\frac{1}{n^{2}}\right)_{6} x y=1
$$

The indices 1-6 are like the contracted notation we will use for second-order NLO

## Wave propagation in birefringent crystals

Inside the medium, $\vec{\nabla} \cdot \mathbf{D}=0$
So $\vec{\nabla} \cdot \mathbf{D}=i \mathbf{k} \cdot \mathbf{D}=0$ and $\mathbf{k} \perp \mathbf{D}$
The wave is described by the D-field inside the medium.
If a wave is linearly polarized, and the D-field is oriented along one of the crystal axes, the wave sees only the refractive index corresponding to the direction of $\mathbf{D}$..
$\mathbf{D}(r, t)=\mathbf{D}_{0} e^{i(\mathbf{k}-\omega t)} \rightarrow \hat{\mathbf{z}} D_{z} e^{i\left(k_{x} x-\omega t\right)}, \quad k_{x}=\frac{\omega}{c} n_{e}$
If $k$ is parallel to one of the axes, but $D$ is not, the input polarization can be resolved along o - and e - axes:

In Jones vector notation,

$$
\mathbf{D}(r, t)=D_{0}\binom{a}{b} \rightarrow D_{0}\binom{a e^{\frac{i}{i_{n}} n_{0} x}}{b e^{i \frac{\omega_{n}}{c} x}}
$$

The vector components pick up relative phase shift $\Delta \phi=\frac{\omega}{c}\left(n_{0}-n_{e}\right) x$

## Plane wave propagation: general direction

- In an anisotropic medium, the phase velocity of light depends on its polarization state and its propagation direction.
- For a given propagation direction, there exist in general two waves, each having its own refractive index (or equivalently phase velocity) and polarization.
- All light traveling in that direction can be decomposed onto the two eigenwaves.
define wave unit vector $\mathbf{s}=\frac{\mathbf{k}}{|\mathbf{k}|}$. Then $\nabla \rightarrow i \frac{\omega n}{c} \mathbf{s}, \frac{\partial}{\partial t} \rightarrow-i \omega$

$$
\begin{aligned}
& \nabla \times \mathbf{E}=-\frac{\partial \mathbf{B}}{\partial t} \Rightarrow \mathbf{H}=\frac{n}{\mu c} \mathbf{s} \times \mathbf{E} \\
& \nabla \times \mathbf{H}=\frac{\partial \mathbf{D}}{\partial t} \Rightarrow \mathbf{D}=-\frac{n}{c} \mathbf{s} \times \mathbf{H}
\end{aligned}
$$

Relations between the directions of the vectors:

1) $\mathbf{D}, \mathbf{H}$, and $\mathbf{s}$ are mutually perpendicular.

2) $\mathbf{D}, \mathbf{E}, \mathbf{s}$, and $\mathbf{E} \times \mathbf{H}$ (energy flow) lie in the same plane.
3) The Poynting vector $\mathbf{S}=\mathbf{E} \times \mathbf{H}$ is generally not along $\mathbf{s}$.

## Using the index ellipsoid: tuning the refractive index

## The role of the index ellipsoid:

For a given arbitrary wave normal direction $\mathbf{s}$, the index ellipsoid can be used to

1) Find the indices of refraction of the two eigenwaves.
2) Find the corresponding directions of the $\mathbf{D}$ vectors of the two eigen waves.


The prescription is as follows:
1)Draw a plane that is through the origin and is perpendicular to $\mathbf{s}$. This plane intersects the index ellipsoid surface with a particular intersection ellipse.
2)The lengths of the two semiaxes of the intersection ellipse, $n_{1}$ and $n_{2}$, are the two indices of refraction of the eigenwaves.
3)The two axes of the intersection ellipse are each parallel to the allowed $\mathbf{D}$ vectors of the eigenwaves.

## Computation of the angle-dependent refractive index

The index ellipsoid:
The equation of the index ellipsoid of a uniaxial crystal is
$\frac{x^{2}+y^{2}}{n_{o}^{2}}+\frac{z^{2}}{n_{e}^{2}}=1$
$n_{o}=\sqrt{\varepsilon_{x} / \varepsilon_{0}}=\sqrt{\varepsilon_{y} / \varepsilon_{0}}$, ordinary refractive index
$n_{e}=\sqrt{\varepsilon_{z} / \varepsilon_{0}}$, extraordinary refractive index
$n_{e}>n_{o}$ : positive uniaxial crystal $\rightarrow$ prolate spheroid

$n_{e}<n_{o}$ : negative uniaxial crystal $\rightarrow$ oblate spheroid
The uniaxial index ellipsoid is rotationally symmetric around the $z$-axis. Let $\mathbf{s}$ be in the $y$-z plane with a polar angle $q$. The two polarization directions of the $\mathbf{D}$ vectors are: $\mathbf{D}_{o}$ is parallel to the $x$-axis, $\mathbf{D}_{e}$ is in the $y$-z plane and is perpendicular to $\mathbf{s}$.
The corresponding refractive indices are:

$$
\left\{\begin{array}{l}
n_{o}=n_{o}, \\
\frac{\left[n_{e}(\theta) \cos \theta\right]^{2}}{n_{o}^{2}}+\frac{\left[n_{e}(\theta) \sin \theta\right]^{2}}{n_{e}^{2}}=1 \Rightarrow n_{e}(\theta)=\left(\frac{\cos ^{2} \theta}{n_{o}^{2}}+\frac{\sin ^{2} \theta}{n_{e}^{2}}\right)^{-1 / 2} .
\end{array}\right.
$$

When $\mathbf{s}$ is on the $z$ direction, $n_{e}\left(0^{\circ}\right)=n_{o}$. Therefore the $z$-axis is the optic axis.

## A derivation of the angle-dependent refractive index

Let $\mathbf{k}=\hat{\mathbf{x}} k \sin \theta+\hat{\mathbf{z}} k \cos \theta$

$$
k=k_{0} n_{e}(\theta)
$$



Find the components of $\mathbf{D}$ that correspond to $\mathbf{k}$
$\nabla \times \mathbf{H}=\frac{\partial \mathbf{D}}{\partial t} \Rightarrow-i \omega \mathbf{D}=i \mathbf{k} \times \mathbf{H}$

$$
\mathbf{k} \times \mathbf{H}=\left|\begin{array}{ccc}
\hat{\mathbf{x}} & \hat{\mathbf{y}} & \hat{\mathbf{z}} \\
k_{x} & 0 & k_{z} \\
0 & H_{0} & 0
\end{array}\right|=-\hat{\mathbf{x}} k_{z} H_{0}+\hat{\mathbf{z}} k_{x} H_{0}
$$

$$
D_{x}=\frac{k_{z} H_{0}}{\omega}=\frac{H_{0}}{\omega} k_{0} n_{e}(\theta) \cos \theta=\frac{H_{0}}{c} n_{e}(\theta) \cos \theta
$$

$$
D_{z}=-\frac{k_{x} H_{0}}{\omega}=-\frac{H_{0}}{\omega} k_{0} n_{e}(\theta) \sin \theta=-\frac{H_{0}}{c} n_{e}(\theta) \sin \theta
$$

## A derivation of the angle-dependent refractive index

Put these into
equation for ellipsoid: $\frac{1}{2 \varepsilon_{0} U_{E}}\left(\frac{D_{X}^{2}}{\varepsilon_{X X}}+\frac{D_{Y}^{2}}{\varepsilon_{Y Y}}+\frac{D_{Z}^{2}}{\varepsilon_{Z Z}}\right)=1=\frac{1}{2 \varepsilon_{0} U_{E}}\left(\frac{D_{X}^{2}}{n_{o}^{2}}+\frac{D_{Y}^{2}}{n_{o}^{2}}+\frac{D_{Z}^{2}}{n_{e}^{2}}\right)$

$$
\frac{1}{2 \varepsilon_{0} U_{E}} \frac{H_{0}^{2}}{c^{2}} n_{e}^{2}(\theta)\left(\frac{\cos ^{2} \theta}{n_{o}^{2}}+\frac{\sin ^{2} \theta}{n_{e}^{2}}\right)=1
$$

Magnetic
energy density $U_{H}=\frac{\mu_{0} H_{0}^{2}}{2} \quad \begin{aligned} & \text { Is equal to electric } \\ & \text { energy density }\end{aligned} U_{H}=U_{E}$
$\frac{1}{2 \varepsilon_{0} U_{E}} \frac{H_{0}^{2}}{c^{2}}=\frac{1}{2 \varepsilon_{0} U_{E}} \frac{2 U_{H}}{\mu_{0} c^{2}}=\frac{U_{H}}{U_{E}} \frac{1}{\mu_{0} \varepsilon_{0} c^{2}}=1$
Finally: $\quad n_{e}^{2}(\theta)=\left(\frac{\cos ^{2} \theta}{n_{o}^{2}}+\frac{\sin ^{2} \theta}{n_{e}^{2}}\right)^{-1}$
The refractive index can be angle-tuned anywhere between $n_{e}$ and $n_{0}$.

Phase matching: an important application for the the angle-dependent refractive index

Recall that for SHG:
$\frac{\partial A_{2}}{\partial z} e^{i\left(k_{2} z-\omega_{2}\right)}=i \frac{\omega_{2}^{2} d}{k_{2} c^{2}} A_{1}^{2} e^{i\left(2 k_{k}-2 \omega_{1}\right)}$
Energy must be conserved:

$$
\omega_{1}+\omega_{1}=\omega_{2} \Rightarrow \omega_{2}=2 \omega_{1}
$$



Momentum may or may not be conserved:

$$
\frac{\partial A_{2}}{\partial z}=i \frac{\omega_{2}^{2} d}{k_{2} c^{2}} A_{1}^{2} e^{i \Delta z_{z}} \quad \text { where } \quad \Delta k=2 k_{1}-k_{2}
$$

Conversion will be most efficient if $\Delta k=0$
$\Rightarrow \quad 2 \frac{\omega_{1}}{c_{0}} n\left(\omega_{1}\right)=\frac{2 \omega_{1}}{c_{0}} n\left(2 \omega_{1}\right)$

$n\left(\omega_{1}\right)=n\left(2 \omega_{1}\right) \quad$ This is the phase-matching condition for SHG

## Phase-matching Second-Harmonic Generation

The phase-matching condition for SHG:

$$
n(\omega)=n(2 \omega)
$$

Unfortunately, dispersion prevents this from ever happening!


## First Demonstration of Second-Harmonic Generation

-P.A. Franken, et al, Physical Review Letters 7, p. 118 (1961)


Figure 12.1. Arrangement used in the first experimental demonstration of second-harmonic generation [1]. A ruby-laser beam at $\lambda=0.694 \mu \mathrm{~m}$ is focused on a quartz crystal, causing the generation of a (weak) beam at $\frac{1}{2} \lambda=0.347 \mu \mathrm{~m}$. The two beams are then separated by a prism and detected on a photographic plate.

The second-harmonic beam was very weak because the process wasn' t phase-matched.

## First demonstration of SHG: The Data

The actual published result...


FIG. 1. A direct reproduction of the first plate in which there was an indication of second harmonic. The wavelength scale is in units of 100 A . The arrow at 3472 A indicates the small but dense image produced by the second harmonic. The image of the primary beam at 6943 A is very large due to halation.

Note that the very weak spot due to the second harmonic is missing. It was removed by an overzealous Physical Review Letters editor, who thought it was a speck of dirt.

## SHG without phase-matching

Non-depleted pump approximation: treat $A_{1}$ as constant
$\frac{\partial A_{2}}{\partial z}=i \frac{\omega_{2}^{2} d}{k_{2} c^{2}} A_{1}^{2} e^{i \Delta k z} \quad$ Integrate: $\quad A_{2}(L)=i \frac{\omega_{2}^{2} d}{k_{2} c^{2}} A_{1}^{2} \int_{0}^{L} e^{i \Delta k z} d z$

$$
A_{2}(L)=i \frac{\omega_{2}^{2} d}{k_{2} c^{2}} A_{1}^{2} L \frac{\left(e^{i \Delta L L}-1\right)}{i \Delta k L}
$$

Convert to intensity $I_{2}=2 \varepsilon_{0} n_{2}\left|A_{2}\right|^{2}$
$\rightarrow \frac{1}{2 \varepsilon_{0} n_{2} c} I_{2}(z)=\left(\frac{1}{2 \varepsilon_{0} n_{1} c}\right)^{2} I_{1}^{2}\left(\frac{\omega_{2} d}{n_{2} c}\right)^{2} L^{2}\left(\frac{\sin (\Delta k L / 2)}{\Delta k L / 2}\right)^{2}$
$\rightarrow I_{2}(L)=\frac{\omega_{2}^{2} d^{2}}{2 \varepsilon_{0} n_{1}^{2} n_{2} c^{3}} I_{1}^{2} L^{2} \operatorname{sinc}^{2}(\Delta k L / 2)$
As a function of $L$ and fixed $|\Delta \mathrm{k}|>0: \quad I_{2}(L)=\frac{\omega_{2}^{2} d^{2}}{2 \varepsilon_{0} n_{1}^{2} n_{2} c^{c}} I_{1}^{2} \frac{4}{\Delta k^{2}} \sin ^{2}(\Delta k L / 2)$
Yield oscillates:

- Period = "coherence length" $L_{\text {coh }}=2 \pi / \Delta k$
- Amplitude proportional to $\max \left(I_{2}\right) \propto 1 / \Delta k^{2}$


## Light created in real crystals

Far from
phase-matching:

Input beam

Closer to phase-matching:

Input beam


Output beam

Note that SH beam is brighter as phase-matching is achieved.

## Phase-matching Second-Harmonic Generation using birefringence

Birefringent materials have different refractive indices for different polarizations. "Ordinary" and "Extraordinary" refractive indices can be different by up to 0.1 for SHG crystals.

We can now satisfy the phase-matching condition.

Put the highest frequency on the lowest index: for negative uniaxial use the extraordinary polarization for $\omega$ and the ordinary for $2 \omega$ :

$$
n_{e}(\omega, \theta)=n_{o}(2 \omega)
$$


$n_{e}$ depends on propagation angle, so we can tune for a given $\omega$. Some crystals have $n_{e}<n_{o}$, so the opposite polarizations work.

## Real crystal dispersion data

- Best resource:
- Others: crystal manufacturers, Handbook of Optics

Example: $\beta$ - $\mathrm{BBO}=$ barium borate, $\mathrm{BaB}_{2} \mathrm{O}_{4}$
$n_{o}^{2}=2.7405+\frac{0.0184}{\lambda^{2}-0.0179}-0.0155 \lambda^{2}$
$\lambda$ is in micrometers!
$n_{e}^{2}=2.3730+\frac{0.0128}{\lambda^{2}-0.0156}-0.0044 \lambda^{2}$
$n_{e}<n_{o}$ everywhere, so we need to angle tune

## Types of phase matching

- Type 1 :
$-2 \omega$ on low index $\left(n_{e}\right)$

$$
\begin{aligned}
\Delta k & =2 \frac{\omega_{1}}{c} n_{o}\left(\omega_{1}\right)-\frac{\omega_{2}}{c} n_{e}\left(\omega_{2}, \theta\right) \\
& =2 \frac{\omega_{1}}{c}\left(n_{o}\left(\omega_{1}\right)-n_{e}\left(\omega_{2}, \theta\right)\right)
\end{aligned}
$$

- Opposite polarizations ( $\mathrm{X}^{(2)}$ tensor allows this)
- Type 2:
$-2 \omega$ on low index $\left(\mathrm{n}_{\mathrm{e}}\right)$

$$
\Delta k=\frac{\omega_{1}}{c} n_{o}\left(\omega_{1}\right)+\frac{\omega_{1}}{c} n_{e}\left(\omega_{1}, \theta\right)-\frac{\omega_{2}}{c} n_{e}\left(\omega_{2}, \theta\right)
$$

- Project $E_{1}$ equally on both axes ( $n_{o}$ and $n_{e}$ )
- Type 3:
- "non-critical" or " $90^{\circ}$ " phase matching
- Temperature-tuned

$$
\Delta k=2 \frac{\omega_{1}}{c}\left(n_{o}\left(\omega_{1}, T\right)-n_{e}\left(\omega_{2}, 90, T\right)\right)
$$

- Only for particular crystals and wavelengths


## Practical issues

- Phase matching bandwidth
- Type 1 has more BW, choose L of crystal
- Group velocity walk-off (for short pulses)
- Angular acceptance
- Birefringent beam walk-off
- Strength of nonlinearity
- Crystal damage threshold
- Thermal stability:
- typically angle-tuned, temperature stabilized
- Available size of crystals, \$\$

